SEC Form 4

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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*	2. Issuer Name and Ticker or Trading Symbol CABOT CORP [CBT]	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)								
<u>McLaughlin Erica</u> (Last) (First) (Middle) C/O CABOT CORPORATION	- - 3. Date of Earliest Transaction (Month/Day/Year) 05/31/2022	Director 10% Owner X Officer (give title below) Other (specify below) Senior Vice President, CFO								
TWO SEAPORT LANE, SUITE 1400	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Individual or Joint/Group Filing (Check Applicable								
(Street) BOSTON MA 02210	_	Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person								
(City) (State) (Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned										

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
COMMON STOCK	05/31/2022		М		2,295	Α	\$46.03	47,031	D	
COMMON STOCK	05/31/2022		М		3,293	Α	\$39.54	50,324	D	
COMMON STOCK	05/31/2022		М		3,534	Α	\$50.46	53,858	D	
COMMON STOCK	05/31/2022		S		3,007	D	\$76.054 ⁽¹⁾	50,851	D	
COMMON STOCK	05/31/2022		S		4,335	D	\$77.131 ⁽²⁾	46,516	D	
COMMON STOCK	05/31/2022		S		1,780	D	\$77.822 ⁽³⁾	44,736	D	
COMMON STOCK								1.535 ⁽⁴⁾	I	Through the Trustees for the Corporation's Retirement Savings Plan

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerc Expiration Da (Month/Day/)	ate Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
STOCK OPTIONS	\$46.03	05/31/2022		М		2,295		(5)	11/13/2024	CABOT CORP	2,295	\$46.03	0	D	
STOCK OPTIONS	\$39.54	05/31/2022		М		3,293		(6)	11/11/2025	CABOT CORP	3,293	\$39.54	0	D	
STOCK OPTIONS	\$50.46	05/31/2022		М		3,534		(7)	11/10/2026	CABOT CORP	3,534	\$50.46	0	D	

Explanation of Responses:

1. The price reported is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$75.590 to \$76.570, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, the Corporation or any security holder of the Corporation, upon request, full information regarding the number of shares sold at each separate price. 2. The price reported is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$76,600 to \$77,600, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, the Corporation or any security holder of the Corporation, upon request, full information regarding the number of shares sold at each separate price. 3. The price reported is a weighted average sale price. These shares were sold in multiple transactions at prices ranging from \$77.630 to \$78.180, inclusive. The reporting person undertakes to provide to the staff of the Securities and Exchange Commission, the Corporation or any security holder of the Corporation, upon request, full information regarding the number of shares sold at each separate price. 4. Reflects retirement plan contributions by the Corporation.

5. 2,295.000 shares were subject to the option. The option vested over a three year period as follows: 30% on November 14, 2015, 30% on November 14, 2016 and 40% on November 14, 2017.

6. 3,293.000 shares were subject to the option. The option vested over a three year period as follows: 30% on November 12, 2016, 30% on November 12, 2017 and 40% on November 12, 2018.

7. 3,534.000 shares were subject to the option. The option vested over a three year period as follows: 30% on November 11, 2017, 30% on November 11, 2018 and 40% on November 11, 2019. **Remarks:**

> By: Jennifer Lombardi, pursuant to a power of attorney 06/01/2022 from Erica McLaughlin

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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